

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Si-Bum KIM

Serial No.: New Application      Group Art Unit: Not Yet Assigned

Filed: October 1, 2002      Examiner: Not Yet Assigned

Title: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents  
Washington, DC 20231

October 1, 2002

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(b)(1) or (2), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or within three months of the date of entry into the national stage of the above-identified application as set forth in 37 CFR §1.491. Accordingly, no fee is required.

Respectfully submitted,

JACOBSON HOLMAN, PLLC

By: 

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Atty. Dkt. No.: P67536US0  
YSH:kyc

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## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P67536US0 GROUP ART UNIT: Not Yet Assigned  
 SERIAL NO.: New Application FILING DATE: October 1, 2002  
 APPLICANT(S): Si-Bum Kim TODAY'S DATE: October 1, 2002

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	INT'L CLASS	SUB- CLASS	FILING DATE (If Appropriate)
_____	AA 6,054,172	04/25/00	Robinson et al.	B05D	5/12	02/25/99
_____	AB 6,054,173	04/25/00	Robinson et al.	B05D	5/12	08/22/97
_____	AC 6,102,993	08/15/00	Bhandari et al.	C23C	16/18	08/03/99
_____	AD 6,126,989	10/03/00	Robinson et al.	B05D	5/12	08/26/98
_____	AE 6,211,035	04/03/01	Moise et al.	H01L	21/20	09/09/99
_____	AF 6,297,155	10/02/01	Simpson et al.	H01L	21/44	05/03/99
_____	AG 6,326,303	12/04/01	Robinson et al.	H01L	21/44	02/11/00

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
_____	AH _____	_____	_____	_____	_____	_____
_____	AI _____	_____	_____	_____	_____	_____

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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Si-Bum KIM

Serial No.: 10/260,624

Group Art Unit: 2823

Filed: October 1, 2002

Examiner: Maldonado, Julio J.

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

\* \* \* \* \*

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

July 11, 2003

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(c), this Information Disclosure Statement is being filed after the period set forth in 37 CFR §1.97(b), but before the mailing date of either a Final Action under 37 CFR §1.113 or a Notice of Allowance under 37 CFR §1.311.

The patents and publications listed on Form PTO-1449 were cited in a Korean Search Report mailed not more than three months ago in a counterpart Korean patent application. A copy of the

Korean Search Report is enclosed, together with an English translation of the Search Report.

Certification in accordance with 37 CFR § 1.97(e) is included herein. Accordingly, it is respectfully submitted that no fee is required by the filing of this Information Disclosure Statement. Should any fee be required, please charge such fee to Deposit Account No. 06-1358. A duplicate copy of this paper is enclosed.

CERTIFICATION

It is hereby certified that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

JACOBSON HOLMAN, PLLC

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Atty. Docket: P67536US0  
Enclosures  
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## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P67536US0 GROUP ART UNIT: 2823  
 SERIAL NO.: 10/260,624 FILING DATE: October 1, 2002  
 APPLICANT(S): Si-Bum KIM TODAY'S DATE: July 11, 2003

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	INT'L CLASS	SUB- CLASS	FILING DATE (If Appropriate)
AA						
AB						
AC						

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
AD	EP 1020905A1	07/19/00	Europe	H01L	21/768	X
AE						
AF						

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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AG	
AH	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

<b>Notice of References Cited</b>	Application/Control No. 10/260,624	Applicant(s)/Patent Under Reexamination KIM, SI-BUM	
	Examiner Scott R. Wilson	Art Unit 2826	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,159,787 A	12-2000	Aitken et al.	438/243
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.